

IT IS HEREBY DETERMINED that:

Applicant's award application is denied because the Commission obtained successful resolution in the underlying action prior to the applicant's submission of information, and therefore the information provided by Applicant did not cause Commission staff to commence an examination, open an investigation, reopen an investigation that the Commission had closed, or to inquire concerning different conduct as part of a current examination or investigation, or lead or contribute to the successful enforcement of the Commission covered judicial or administrative action, as required by section 23(b)(1) of the Commodity Exchange Act (7 U.S.C. § 26(b)(1)) and Rules 165.2(i) and 165.5(a)(3) of the Commission's Whistleblower Rules (17 C.F.R. §§ 165.2(i), 165.5(a)(3)).

Further, the application is denied because the Applicant did not provide original information to the Commission as required by section 23(a)(4)(B) of the Commodity Exchange Act (7 U.S.C. § 26(a)(4)(B)) and Rule 165.2(k)(2) of the Commission's Whistleblower Rules (17 C.F.R. § 165.2(k)(2)). Although the applicant claims to be the "original source" of the information that led the Commission to open an investigation in the underlying action, the information provided to the [redacted] was not provided voluntarily under Rule 165.2(o)(1) of the Commission's Whistleblower Rules (17 C.F.R. § 165.2(o)(1)).

The denial of Applicant's whistleblower award application is without prejudice to any other award application submitted by Applicant, including applications submitted in the future, regarding other covered judicial or administrative actions or related actions.

Dated: October 11, 2016

Whistleblower Award Determination Panel
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581