

FINAL ORDER – THIS PRELIMINARY DETERMINATION BECAME THE FINAL ORDER OF THE COMMISSION ON MAY 3, 2023 PURSUANT TO SECTION 165.7(h) OF THE WHISTLEBLOWER RULES, 17 C.F.R. PART 165, ADOPTED PURSUANT TO SECTION 23 OF THE COMMODITY EXCHANGE ACT, 7 U.S.C. § 26.

In the Matter of Claims for Award by:)
Redacted)
)
)
)
In Connection with)
Notice of Covered Action No. Redacted)
)
)

PRELIMINARY DETERMINATION OF THE CLAIMS REVIEW STAFF

The Commodity Futures Trading Commission (“Commission”) received a whistleblower award application from Claimant in response to the above-referenced Notice of Covered Action.

The corresponding enforcement actions are Redacted

Redacted

The Claims Review Staff (“CRS”) has evaluated Claimant’s award claim Redacted in accordance with the Commission’s Whistleblower Rules (“Rules”), 17 C.F.R. pt. 165, promulgated pursuant to Section 23 of the CEA, 7 U.S.C. § 26. The CRS sets forth its Preliminary Determination for Claimant as follows:

1. The CRS has determined to recommend that the Commission deny the application because it does not the meet requirements for an award.

2. The Division’s investigation Redacted

5. The responsible attorney Redacted never spoke to Claimant Redacted

Redacted
Redacted

PUBLIC VERSION

Redacted

Claimant's information was not used Redacted

Dated: March 3, 2023

Whistleblower Claims Review Staff
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581