

**UNITED STATES OF AMERICA**  
**Before the**  
**COMMODITY FUTURES TRADING COMMISSION**

In the Matter of Claims for Award by:

Redacted (“Claimant 1”),  
 Redacted

;

Redacted (“Claimant 2”),  
 Redacted

;

Redacted (“Claimant 3”),  
 Redacted

;

Redacted (“Claimant 4”),  
 Redacted

; and

Redacted (“Claimant 5”),  
 Redacted

CFTC Whistleblower Award  
 Determination No. 23-WB-03

In Connection with  
 Notice of Covered Action No. Redacted

**ORDER DETERMINING WHISTLEBLOWER AWARD CLAIMS**

The Commodity Futures Trading Commission (“Commission” or “CFTC”) received whistleblower award applications from five claimants in response to the above-referenced Notice of Covered Action regarding Redacted

(“Covered Action”). The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending denial of all claims.

Claimant 1 requested reconsideration of the Preliminary Determination.\* Claimant 1 wrote only that “[t]he documents provided by the CFTC do not include the signed statements of the CFTC employees, other than [a single declarant], who contributed to Notice of Covered Action No. Redacted.” Claimant 1 did not challenge the content of the single declaration, and the CRS determined that no additional Commission staff needed to prepare signed statements for the record for this award determination to show that Claimant 1’s information did not lead to the successful enforcement of the Covered Action. See 17 C.F.R. §§ 165.2(i), 165.5(a) (2021).

\* The other four claimants did not submit a request for reconsideration, so the Preliminary Determination has become the Commission’s Final Order with respect to their award applications. 17 C.F.R. § 165.7(h) (2021).

The Commission agrees with the CRS's determination. Therefore, it is ORDERED that Claimant 1's whistleblower award claim be, and hereby is, denied.

By the Commission.

A handwritten signature in black ink that reads "Robert Sidman". The signature is written in a cursive style with a horizontal line underneath it.

Robert Sidman  
Deputy Secretary of the Commission  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581

Dated: February 21, 2023