

UNITED STATES OF AMERICA  
before the  
COMMODITY FUTURES TRADING COMMISSION

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In the Matter of:

Whistleblower Award Claim of    Claimant    – Form WB-APP 2013-03-04-01

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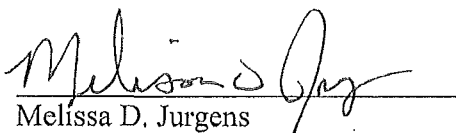
**ORDER**

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award claim of    Claimant    , filed on Form WB-APP 2013-03-04-01.

Pursuant to the Commission's Whistleblower Rules (17 C.F.R. Part 165), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that this whistleblower award claim be denied.

In accordance with 7 U.S.C. § 26(f) and 17 C.F.R. § 165.13, if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: Nov. 6, 2013



Melissa D. Jurgens  
Secretary of the Commission  
Commodity Futures Trading Commission  
1155 21<sup>st</sup> Street, N.W.  
Washington, DC 20581

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**WHISTLEBLOWER AWARD DETERMINATION**

Before the Whistleblower Award Determination Panel of the Commodity Futures Trading Commission (Commission) is the whistleblower award claim of    Claimant    (Claimant), filed on Form WB-APP 2013-03-04-01.

**IT IS HEREBY DETERMINED that:**

The claim is denied because the information provided by the Claimant did not lead to the successful enforcement of a Commission covered judicial or administrative action as required by Section 23(b)(1) of the Commodity Exchange Act [7 U.S.C. § 26(b)(1)] and Rules 165.2(i) and 165.5(a)(3) of the Commission's Whistleblower Rules [17 C.F.R. §§ 165.2(i), 165.5(a)(3)]. The information provided by the Claimant did not cause the Commission staff to commence an examination, open an investigation, reopen an investigation that the Commission had closed, or to inquire concerning different conduct as part of a current examination or investigation. Nor did the Claimant's information significantly contribute to the success of a Commission matter already under investigation. The Claimant's award claim is based on an action that was already adjudicated when the Claimant first provided information to the Commission.

Dated: October 25, 2013

Whistleblower Award Determination Panel  
Commodity Futures Trading Commission  
1155 21st Street, N.W.  
Washington, DC 20581